

IFW 21507/IFW

PTO/SB/21 (09-04)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

9

Application Number

10/076,181

Filing Date

February 12, 2002

First Named Inventor

Hal Hildebrand

Art Unit

2155

Examiner Name

Kevin T. Bates

Attorney Docket Number

PA2902US

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

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Request for Refund

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Remarks

Total page number does not include postcard or cited nonpatent references.

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After Allowance Communication to TC

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Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

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Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

- 1) Confirmation Postcard;
- 2) PTO/SB/08 (x2);
- 3) Copies of 2 Cited Nonpatent References

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Carr & Ferrell LLP

Cust. No. 22830

Signature

Susan Yee

Printed name

Susan Yee

Date

February 21, 2006

Reg. No.

41,388

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Susan Yee, Reg. No. 41,388

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Hal Hildebrand et al.

SERIAL NUMBER: 10/076,181

FILING DATE: February 12, 2002

TITLE: System and Method for Providing Distributed Access
Control to Secured Items

EXAMINER: Kevin T. Bates

ART UNIT: 2155

ATTY. DKT. NO.: PA2902US

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references; copies of the cited nonpatent references are enclosed.

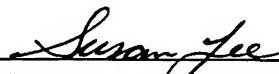
Applicants declare, in accordance with 37 C.F.R. § 1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after having made a reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

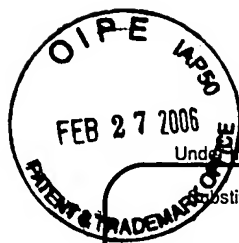
The Examiner is requested to make these references of official record in this application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application. No fee is due with this submission.

Respectfully submitted,
Hal Hildebrand et al.

Dated: February 21, 2006

By: 
Susan Yee, Reg. No. 41,388
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Palo Alto, CA 94303
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FAX: (650) 812-3444



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Art Unit	2155
Examiner Name	Kevin T. Bates
Attorney Docket Number	PA2902US

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6453353	09-17-2002	Win et al.	
		US- 5857189	01-05-1999	Riddle	
		US- 2004/0215962	10-28-2004	Douceur et al.	
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		US- 6477544	11-05-2002	Bolosky et al.	
		US- 6947556 B1	09-20-2005	Matyas, Jr. et al.	
		US- 6301614 B1	10-09-2001	Najork et al.	
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		US- 2005/0177716	08-11-2005	Ginter et al.	
		US- 2002/0091745	07-11-2002	Ramamurthy et al.	
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		US- 2003/0037133	02-20-2003	Owens	
		US- 6466932	10-15-2002	Dennis et al.	
		US- 6292899	09-18-2001	McBride	
		US- 2003/0051039	03-13-2003	Brown et al.	
		US- 2002/0013772	01-31-2002	Peinado	
		US- 2002/0077988	06-20-2002	Sasaki et al.	
		US- 6961849	11-01-2005	Davis et al.	

FOREIGN PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Attorney Docket Number	PA2902US

Sheet **2** of **3****U. S. PATENT DOCUMENTS**

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		US- 6588673	07-08-2003	Chan et al.	
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		US- 2002/0023208	02-21-2002	Jancula	
		US- 6891953	05-10-2005	DeMello et al.	
		US- 6810479	10-26-2004	Barlow et al.	
		US- 6510349	01-21-2003	Schneck et al.	
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First Named Inventor	Hal Hildebrand
Art Unit	2155
Examiner Name	Kevin T. Bates
Attorney Docket Number	PA2902US

Sheet 3

of

3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Microsoft Windows 2000 Server. Windows 2000 Group Policy White Paper, 2000	
		Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	

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		Microsoft Windows 2000 Server. Windows 2000 Group Policy White Paper, 2000	
		Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	

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